COMMUNITY PARTICIPATION IN PROJECT PREPARATION AND

MANAGEMENT IN THE UPPER WEST REGION.

By

Aalangdong, Edmund Nibenee

BA (Hons) Integrated Development Studies

A Thesis Submitted to the Department of Planning,

Kwame Nkrumah University of Science and Technology Kumasi,

In Partial Fulfillment of the Requirement for the degree

of

MASTERS OF SCIENCE,

Faculty of Planning and Land Economy

College of Architecture and Planning

October, 2010

ı

DECLARATION

I hereby declare that this submission is my own work towards the MSc and that to the best of my knowledge it contains neither materials previously by another person or materials that have been accepted for the award of any other degree by the university or any other university except where due acknowledgement has been made in the text.

Aalangdong Nibenee Edmund (PG 2232808)		
(Name of Student)	Signature	Date
Certified by:		
Prof. Dr. Romanus D. Dinye		
(Supervisor)	Signature	Date
(Supervisor) Certified by:	Signature	Date
	Signature	Date

DEDICATION

I dedicate this work to God almighty for what he has done for me and my family.

ABSTRACT

Over the last two decades Ghana and many other Sub-Saharan countries have undergone several economic restructuring through the assistance of the International Monitory Fund, World Bank and other international bodies. Most of these assistance have come in the form of aids, projects and financial assistance, all aimed at reducing the poverty among its people.

The Upper West Region of Ghana, which is currently the poorest Region with 9 out of every 10 of its people being poor, is no doubt one of the major beneficiaries of donor assistance and government supported projects and the two study areas, Sissala East and Wa municipality, have experienced their fare share of such projects. The six selected communities: Kassanpouri, Sentie, Tumu, Bugubelle, Bamahu and Nakori are active areas of development interventions in the Region as a result of endemic poverty found in these areas.

The study therefore tried to do an analysis of community participation in project preparation and management among these projects in these communities to ascertain the impact of these projects and to determine the level of community involvement in the implementation of the projects under study (EU micro project- donor project, Village Loans and Savings Association- NGO project and Livelihood Empowerment Against Poverty- Government project). The categorization of these projects into Government projects, donor projects and NGO projects is to help ascertain among these projects, the one that involves much more community participation in its operations.

Data collected from both secondary and primary source from six purposively selected rural communities in the Upper West Region were analysed and some of the major findings include:

Government Supported Projects, LEAP in Sentie and Kassanpouri

• The study revealed that the government supported projects (LEAP) was totally an initiative government brought down to the beneficiary communities. The communities were only in support of it since they felt it was free money that would bring them some relief.

Donor Supported Project, EU micro project in Tumu and Bugubelle

Relatively the donor supported projects offered more beneficiary involvement in the projects' activities.

• The study revealed that the EU project was conceived and initiated by the government in collaboration with the donors. The projects (a three unit classroom block and a CHPs compound) at the community level were however initiated by the community members themselves through community animations conducted by the Project District Coordinator. It is worth noting that even though the communities did not initiate the projects at the national level, the facilitating agencies (Sissala East District Assembly and project coordinator), through participatory methods were able to facilitate the communities into accepting and owning the projects.

NGO Supported Projects, Village Loans and Savings Association (VLSA) in Bamahu and Sentie

• The study revealed that the project was an initiative of Plan Ghana and Care International with support from Barclays UK with the aim of inculcating in people the habit of savings culture. The communities were in support of it since they knew it was for their own benefit. Re-Orientation of the Governmental Implementing Agencies, the need for a policy dialogue between donors and recipient governments on the need for beneficiary participation at all stages of the project cycle and the involvement of beneficiary communities in procurement processes to ensure transparency are among some of the recommendations proposed in the study. It is anticipated that the implementation of the proposed recommendations in this study would help ensure adequate community participation in project preparation and management.

ACKNOWLEGEMENT

I first and foremost wish to thank the almighty God for my life and the things he has done in my life and continues to do. My sincere gratitude also goes to Prof. Dr. Romanus D. Dinye my supervisor (Dean, Institute of Human Settlement) for his fatherly guidance and scrutiny which has seen the successful completion of this work. I also wish to thank members and staff of the Department of Planning for their assistance since I gained admission into the University most especially the late Mr. Benjamin Annor Anim (Former Director, Depp Programme). God bless you wherever you are.

To my parents Mr and Mrs Aalangdong, I thank you for your support and prayers. Also to my siblings, Patrick and Rosemond, I thank you all for being there for me. I also wish to thank all my friends and classmates for their support and prayers.

Much gratitude goes to Miss Ethel Duorinaa for your prayers, love and support all these years, God blesses you.

TABLE OF CONTENT

Chapter one

GENE	RAL INTRODUCTION	1
1.1	Background to the Study	1
1.2	Problem Statement	4
1.3	Research Questions	8
1.4	Objectives of the Study	8
1.5	Justification for the Study	9
1.6	Scope	10
1.7	Organization of the Study	11
CHAPTER TWO 1		12
REVIEW OF LITERATURE		12
2.1	Community	12
2.2	Participation	14
2.2.1	Review of Theories of Participation	19
2.2.2	Dimensions of Participation	21
2.2.3	Levels of People's Participation in Development	23
2.2.4	Typology of Interest in Participation	26
2.2.5	Cost of Participation	27
2.3	Community Participation	28
2.3.1	Forms of Community Participation	31
2.3.2	Barriers to Community Participation	32

2.4	Project Management	33
2.5	Conceptual Framework of Beneficiary Participation in Development Pro	ojects34
2.6	Study Indicators	37
2.7	The Problem Associated with Community Participation in Project	
	Preparation and Management	37
2.8	Key Issues and Lessons from the Literature	39
CHAI	PTER THREE	41
OVEF	RVIEW OF THE UPPER WEST REGION AND METHODOLOGY	41
3.1	Physical characteristics	41
3.1.1	Location and size	41
3.1.2	Climate	42
3.1.3	Vegetation	42
3.1.4	Topography, Relief and Drainage	43
3.2	Population and Demographic Characteristics	44
3.2.1	Population Growth	44
3.2.2	Age and Sex Structure	45
3.2.3	Ethnicity and Migration	46
3.2.4	Development implications	46
3.3	Research Approach	48
3.4	Rational for Case Study Approach	49
3.5	Validity	50
3.6	Method of Data Collection	50
3.6.1	Data Collection	51
3.6.2	Sampling Techniques	51

3.6.3	Unite of Analysis	52
3.6.4	Sample Population	52
3.6.5	Sample Frame	52
3.6.6	Sampling Procedure	53
3.6.7	Data Analysis	54
3.7	A Review of the Projects to be studied	55
3.7.1	Case Study One: The Construction Of A One Number Three Unit Classroom	
	Block and CHPS Compound at Tumu and Bugubelle Respectively by the	
	European Union Micro Project	56
3.7.2	Case study Two: The Implementation of Livelihood Empowerment Against	
	Poverty (LEAP) Project in Kasanpuori and Sentie	58
3.7.3	Case study Three: The Implementation of Village Savings and Loan	
	Associations (VSLAs), by Plan Ghana in Bamaho and Nakori.	60
3.8	Project Cycle Phases	61
3.9	Summary	64
СНАР	TER FOUR	65
RESU	LTS AND DISCUSSION	65
4.0	Introduction	65
4.1	Characteristics of Respondents	65
4.1.1	Age of Respondents	65
4.1.2	Sex of Respondents	67
4.1.3	Educational Level of Respondents	68
4.2	Review of Community Involvement in Development Projects	70

	in Donor NGO and Government Supported Projects	95
4.8	Comparative Analysis of Beneficiary Communities Participation	
4.7	Assessment of the Sustainability of the Case Study Projects	91
	under review	88
4.6	Factors Responsible for Low Community Participation in the projects	
4.5	Community Participation Mechanism	86
4.4.5	Project Monitoring and Evaluation	86
4.4.4	Material, Cash provision and Procurement	85
4.4.3	Project Implementation	83
4.4.2	Project Identification	83
	(NGOs) supported Projects through the Village Savings and Loans Association	83
4.4.1	The role of Beneficiary Communities in Non Governmental Organizations	
4.3.5	Project Monitoring and Evaluation	81
4.3.4	Project Implementation	81
4.3.3	Project Design	80
4.3.2	Project Identification	79
4.3.1	The role of Beneficiary Communities in Government supported Projects (LEAP)	79
4.2.5	Project Monitoring and Evaluation	77
4.2.4	Project Implementation	74
4.2.3	Project Design	73
4.2.2	Project Identification	71
	Projects (Eu micro projects)	71
4.2.1	The role of Beneficiary Communities in Donor supported	

СНАР	TER FIVE	101
KEY FINDINGS, RECOMMENDATIONS AND CONCLUSION		101
5.1	Main Findings of the study	101
5.1.1	Government Supported Projects, LEAP in Sentie and Kassanpouri	101
5.1.2	Donor Supported Project, EU micro project in Tumu and Bugubelle	102
5.1.3	NGO Supported Projects, Village Loans and Savings Association (VLSA)	
	in Bamahu and Sentie	103
5.1.4	Similarities and Disparities in Beneficiary Involvement in NGO,	
	Government and Donor Supported Projects.	105
5.1.5	Key Factors Responsible for Low Community Participation in Government	
	NGO, and Donor Supported Projects.	106
5.1.6	Local Structures for Community Participation	107
5.1.7	Project Sustainability	107
5.2	Recommendations	108
5.3	Conclusion	112
Refere	ences	113
Apper	ndices	116
Questi	ionnaire	135

LIST OF TABLES

TAB	FABLESPA	
2.1:	Typology of Interest in Participation	26
2.2:	Practice of Community Participation	29
3.1	Research Approach Table	48
3.2	Sample Distribution	53
4.1	Age Distribution of Respondents	66
4.2	Sex Distribution of Respondents	68
4.3	Educational Level of Respondents	69
5.0:	A scale for Analysing Community Participation in Development Projects	95
5.1	Community Participation In Development Projects	96
5.2:	Level of Community Participation in Development Projects	97

LIST OF FUGURES

FIGU	RES	PAGE
2.1:	Participation viewed as a spidergram	15
2.2:	Depth of Participation as a Continuum	21
2.3:	Conceptual Framework for Community Participation in Project	
	Preparation and Management	36
3.1:	Map of Upper West Region Indicating Study Areas	55
3.2:	Bar Chart showing four Community Households that Benefited from	
	the LEAP Project in 2008	59
4.0:	Educational Levels of Respondents (Percentage)	70
4.1:	A three unite classroom Block Constructed in 2008 under the EU	
	Micro project in Tumu	72
4.2:	Front view of the three unite classroom Block Constructed in	
	2008 under the EU Micro project in Tumu	73
4.3:	A CHPs compound constructed in 2008 in Bugubelle under	
	the EU Micro project.	78
4.4:	A picture showing a CHPs compound constructed under the	
	EU project in 2008 in Bugubelle	79
4.5:	A group of LEAP beneficiaries being assisted to collect	
	their monies in Sentie,(2009)	82
4.6:	A group of LEAP beneficiaries being assisted to collect their monies	
	in Kassanpouri,(2009)	82
4.7:	Community members in Bamahu being taken through an animation	
	in preparation for the VLSA Progarmme by Plan Ghana	84
4.8:	Community members in Nakori being taken throughan animation	

	in preparation for the VLSA Progarmme by Plan Ghana	85
4.9:	A pie chart showing the percentages of Community	
	Participation in Development Projects	100

GLOSSARY

B o Qs	Bill of Quantities
CBRDP	Community Based Rural Development Projects
CHPS	Community Health Planning System
CIC	Community Implementation Committee
CLIC	Community LEAP Implementation Committee
CPR	Common Pool Resource
DFID	Danish Fund for International Development
DWAP	District Wide Assistant Project
EU	European Union
FAO	Food and Agricultural Organization
GES	Ghana Education Service
GHS	Ghana Health Service
GoG	Government of Ghana
GPRS II	Growth and Poverty Reduction Strategy
GTZ	Gesellschaft fur Technische Zusammenarbeit
HIPC	Highly Indebted Poor Country
IFAD	International Fund for Agricultural Development
LEAP	Livelihood Empowerment Against Poverty
MFIs	Micro Finance Institutions
MoFA	Ministry of Food and Agriculture
MPMU	Micro Project Management Unit
MPP	Micro Project Programme
NGLA	Non-Governmental local Authorities

NGOs	Non Governmental Organization
OE	Office of Evaluation
PE&F	Policy Formulation and Implementation
РТА	Parent Teacher Association
RD	Rural Development
RPCU	Regional Planning Coordinating Unit
SIDA	Swedish International Development Cooperation Authority
SPSS	Statistical Package for the Social Scientist
UK	United Kingdom
UNCHS	United Nations Center for Human Settlement
UNECA	United Nations Economic Commission for Africa
USAID	United States Aid
UWADEP	Upper West Agricultural Development Project
VSLA	Village Savings and Loans Association
YARO	Youth Action for Reproductive Order

LIST OF APPENDICES

APPENDIX

Appendix A

Table 1.0:	An examination of the roles played by the community	
	members and European Union in the construction of a three	
	unit classroom block at Tumu	116
Appendix B		
Table 1.1:	An examination of the roles played by the community members and	
E	Curopean Union in the construction of a CHPs compound at Bugubelle	119
Appendix C		
Table 1.2:	An examination of the roles played by the community members	
	and Government in the implementation of LEAP in Kasanpuori	121
Appendix D		
Table 1.3:	An examination of the roles played by the community members and	
	Government in the implementation of LEAP in Sentie	123
Appendix E		
Table 1.4:	The Implementation of Village Savings and Loans Associations in	
	Nakori	125
Appendix F		
Table 1.5:	An examination of the roles played by the community members and N	GO
	in the implementation of Village Savings and Loans Associations	

(VSLA) in Bamahu

128

Appendix G

Picture 1, 2 and 3 depicts a typical day of a VLSA group meeting at Bamahu (2009)	130
Appendix H:	
A Detailed Map of the Sissala East District Highlighting the study Communities	133
Appendix I:	

A Detailed Map of Wa Municipal Highlighting the study Communities 134