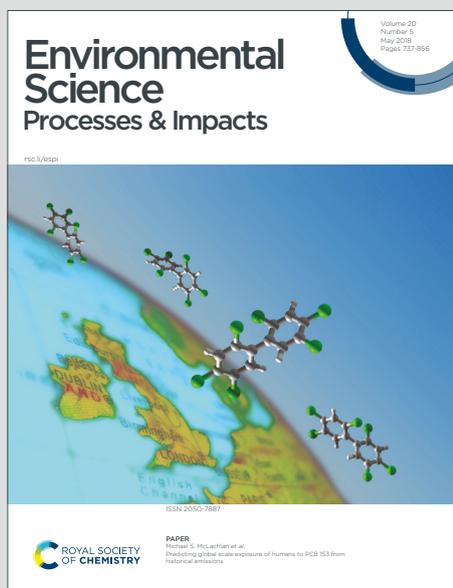


# Environmental Science Processes & Impacts

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# 1 Characterization of Inhalation Exposure to Gaseous Elemental Mercury During Artisanal Gold 2 Mining and E-Waste Recycling Through Combined Stationary and Personal Passive Sampling

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## 11 Abstract

12 While occupational inhalation exposure to gaseous elemental mercury (GEM) has decreased in  
13 many workplaces as mercury is being removed from many products and processes, it continues  
14 to be a concern for those engaged in artisanal and small-scale gold mining or in recycling  
15 mercury-containing products. Recently, stationary and personal passive air samplers based on  
16 activated carbon sorbents and radial diffusive barriers have been shown to be suitable for  
17 measuring GEM concentrations across the range relevant for chronic health effects. Here, we  
18 used a combination of stationary and personal passive samplers to characterize the inhalation  
19 exposure to gaseous elemental mercury of individuals living and working in two Ghanaian gold  
20 mining communities and working at a Norwegian e-waste recycling facility. Exposure  
21 concentrations ranging from  $< 7 \text{ ng/m}^3$  to  $>500 \text{ } \mu\text{g/m}^3$  were observed, with the higher end of  
22 the range occurring in one gold mining community. Large differences in the GEM exposure  
23 averaged over the length of a workday between individuals can be rationalized by their activity  
24 and proximity to mercury sources. In each of the three settings, the measured exposure of the  
25 highest exposed individuals exceeded the highest concentration recorded with a stationary  
26 sampler, presumably because those individuals were engaged in an activity that generated or  
27 involved GEM vapors. High day-to-day variability in exposure for those who participated on  
28 more than one day, suggest the need for sampling over multiple days for reliable exposure  
29 characterization. Overall, a combination of personal and stationary passive sampling is a cost-  
30 effective approach that cannot only provide information on exposure levels relative to  
31 regulatory thresholds, but also can identify emission hotspots and therefore guide mitigation  
32 measures.

### 33 Environmental Significance Statement

34 Artisanal and small-scale gold mining (ASGM) has an economic impact on millions of people in  
35 the developing world. While the exposure of miners and their family members to mercury is  
36 widely recognized, inhalation exposure to gaseous elemental mercury is rarely assessed  
37 because existing measurement techniques are too costly, cumbersome and delicate for  
38 deployment in the challenging environments where mining is conducted. We demonstrate here  
39 that a passive air sampler-based approach can provide quantitative inhalation exposure data,  
40 simply, reliably and inexpensively. This approach should facilitate comprehensive exposure  
41 assessments and support efforts to reduce and mitigate mercury exposure in ASGM  
42 communities worldwide.

### 44 Introduction

45 Mercury (Hg) is a neurotoxin linked to numerous adverse health effects. While dietary uptake  
46 of methylmercury is the exposure pathway of primary concern for most people, there are sub-  
47 populations for which inhalation of gaseous elemental mercury (GEM) is the dominant route of  
48 exposure to Hg. While historically such inhalation exposure was a concern in many industrial  
49 workplaces (e.g. chloralkali plants<sup>1</sup>, mercury mining operations<sup>2,3</sup> as well dental and medical  
50 facilities<sup>4-6</sup>), stricter regulation on the use of mercury in many processes and products has  
51 greatly reduced the number of occupational environments in advanced, industrial economies  
52 that carry the risk of hazardous exposure to GEM in the atmosphere.

53 Exceptions to this trend are e-waste recycling facilities, where the manual and automatic  
54 dismantling of discarded electrical and electronic equipment seeks to recover valuable  
55 materials. A large number of Hg-containing products, including fluorescent tubes, compact  
56 fluorescent lights (CFLs), batteries, and computers, are being phased out or are reaching their  
57 end-of-life. Dismantling these items generates vapors, including GEM, that could result in  
58 inhalation exposure of those working in e-waste facilities. Trade disperses e-waste globally,  
59 with large quantities being transferred from affluent to less affluent regions,<sup>7,8</sup> where recycling  
60 generally occurs in more informal settings, often involving open waste burning, which has the  
61 potential to release more GEM. Those living within an informal e-waste recycling region are at  
62 increased risk of heavy metal exposure.<sup>9-12</sup> Individuals who work in e-waste recycling plants in  
63 particular are at risk for increased Hg exposure.<sup>13,14</sup>

64 Artisanal and small-scale gold mining (ASGM) is another activity where GEM inhalation is an  
65 increasing rather than a diminishing exposure concern, as ASGM continues to grow.<sup>15,16</sup> ASG  
66 miners frequently use Hg as it is cheap, easily acquired, and binds with gold to form an  
67 amalgam which can be readily separated from the remaining ore.<sup>17,18</sup> While there is some  
68 potential for GEM inhalation during the handling of the elemental Hg liquid, the primary

69 exposure concern revolves around the burning of the amalgam to remove Hg from the gold.<sup>19,20</sup>  
70 This is often completed using a torch or other heated device openly in public spaces. The  
71 resulting porous sponge gold contains impurities and residual Hg and is mostly sold to gold  
72 shops for further refining. Gold shops are likely to also be a significant source of GEM emissions  
73 due to the burning of residual Hg.<sup>21</sup> GEM emissions can be greatly reduced through the use of  
74 Hg vapor collection devices such as simple retorts although efforts to implement these in ASGM  
75 communities have yet to be successful.<sup>22-24</sup>

76 Measurements of GEM inhalation exposure typically rely on two types of approaches: Short-  
77 term measurements with portable mercury analyzers or personal samplers. For example, Li et  
78 al.<sup>3</sup> used a portable Lumex RA-915 Zeeman mercury analyzer to record GEM levels at various  
79 locations of the Wuchuan mercury mining area in Southwest China. Xu et al.<sup>25</sup> used the same  
80 instrument to map the GEM concentration in the abandoned Wanshan mercury mining area  
81 based on 30-minute measurements at 67 outdoor locations. Gyamfi et al.<sup>26</sup> used this approach  
82 to make instantaneous measurements of a few minutes' length at different locations within  
83 rooms in 175 households of an ASGM community in Ghana. While this approach can measure  
84 GEM over a very wide concentration range from atmospheric background to 50,000 ng/m<sup>3</sup>, it  
85 lacks the ability for time averaging and personal sampling.

86 Personal sampling on the other hand provides (i) concentration values over extended periods,  
87 e.g. for the length of a work day, which is of more relevance for chronic health effects than  
88 peak concentrations, and (ii) an individual's inhalation exposure, if the sampler is wearable  
89 within the breathing zone. Pumped personal samplers require electrical power and are too  
90 cumbersome to be practical in many workplace applications, especially in challenging  
91 environments such as ASGM in developing countries. Therefore, passive personal samplers are  
92 often the preferred option. A passive sampler does not use a pump to draw air through a  
93 sorbent, but relies on the diffusion of the target compound to the sorbent. In the context of  
94 ASGM, personal passive samplers have been previously used to record GEM inhalation  
95 exposure in Burkina Faso during open burn events.<sup>27,28</sup> Black et al.<sup>27</sup> used personal Hg vapor  
96 badges, whereas de Barros Santos et al.<sup>28</sup> used porous nanostructures of Vycor glass, which  
97 undergo a measurable color change upon mercury uptake. Whereas the latter only provide  
98 semi-quantitative information on GEM concentrations, the former study recorded extremely  
99 high GEM concentrations, often in excess of 1,000,000 ng/m<sup>3</sup>. These samplers are designed for  
100 assessing exposure in the vicinity of the thresholds of acute health concern but are not well  
101 suited for lower exposure levels that may still be relevant for chronic health effects and that  
102 may affect a much larger population than those participating in open amalgam burning events.  
103 Snow et al.<sup>29</sup> recently introduced a personal passive sampler that can achieve much lower limits  
104 of detection than the ones used previously in ASGM communities. This sampler relies on a  
105 commercial Radiello® diffusive barrier to constrain the rate of GEM diffusion to a metal mesh

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3 106 cylinder filled with sulfur-impregnated activated carbon acting as a high capacity sorbent  
4 107 sorbent.<sup>29</sup> It was shown to achieve limits of quantification lower than the lowest relevant  
5 108 occupational threshold during deployments lasting eight hours, while achieving accuracy and  
6 109 precision on par with personal pumps.<sup>29</sup>

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9 110 Here we present measurements that were undertaken in two ASGM communities in Ghana, as  
10 111 well as within a regulated e-waste handling facility in Norway, in order to establish GEM  
11 112 concentrations in the local atmosphere and to assess the personal inhalation exposure of  
12 113 workers and community members to GEM. We employed two types of passive air samplers  
13 114 (PASs) that are based on similar design principles and rely on the same sorbent and  
14 115 quantification technique, namely the stationary PAS described by McLagan et al.<sup>30</sup> and the  
15 116 personal PAS introduced by Snow et al.<sup>29</sup>. The objectives of these field studies were (i) to test  
16 117 the field-worthiness and establish performance characteristics of the new PAS<sup>29</sup> in challenging,  
17 118 real-life applications, (ii) to explore the benefits of a combined deployment of stationary and  
18 119 personal samplers, and (iii) to provide valuable information on the chronic GEM exposure that  
19 120 e-waste workers and ASG miners and other community members may experience. While there  
20 121 have been measurements of trace elements, including mercury, in atmospheric particles  
21 122 collected in e-waste areas,<sup>11,13</sup> we believe to present here the first measurements of personal  
22 123 GEM exposure in the formal or informal e-waste recycling sector. With respect to ASGM, we  
23 124 provide the first time-averaged GEM exposure concentrations during “normal” mining  
24 125 operations, i.e. not focused on the open burning events during which the highest levels are  
25 126 expected to occur.<sup>27,28</sup>

## 26 127 **Methods**

27 128 **Sampling Campaigns.** Both stationary and personal passive samplers were deployed in two  
28 129 ASGM communities in Ghana and one e-waste recycling facility in Norway. In the first ASGM  
29 130 community, hard-rock deposits are mined within an area where individuals not participating in  
30 131 the ASGM sector live and work, including many women and youth. In the second community,  
31 132 the mining of alluvial deposits occurs approximately 1 km away from the residential area.  
32 133 Miners at both sites use concentrate amalgamation to separate gold from the ore. Photographs  
33 134 of the mining operations in the two communities are shown in the supplementary material  
34 135 (Figure S1 and S2). The e-waste recycling facility is a large complex that includes industrial  
35 136 indoor spaces, including belts, a shredder and sorting areas, as well as offices and outdoor  
36 137 waste storage areas. It is surrounded by forested areas. Photographs of the facility are shown in  
37 138 Figure S3.

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52 139 Details of the three sampling campaigns are summarised in Table 1. Between 10 and 21  
53 140 stationary passive samplers<sup>30</sup> were deployed for periods of 1 to 4 days in order to establish the  
54 141 GEM concentration variability in the atmosphere within and around the three sites. This PAS  
55 142 has an established track record as a stationary sampler for mapping spatial variability of GEM in

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143 outdoor environments.<sup>31-33</sup> At the same time, personal passive samplers<sup>29</sup> were worn for the  
144 length of a workday (~7 to 8 hours) by 8 to 36 workers and community members in order to  
145 record their personal inhalation exposure. Because some participants wore samplers for up to  
146 three consecutive days, the total number of personal sampling periods was higher than the  
147 number of participants. Approval for field studies with human participants was obtained from  
148 the University of Toronto Research Ethics Board (protocol number: 11365).

149 At the end of the sampling period, all samplers were closed with a lid and tightly sealed with  
150 Parafilm®.<sup>29</sup> All PASs deployed in Ghana were stored for a few days at the Kwame Nkrumah  
151 University of Science and Technology in Kumasi, Ghana before being shipped back to the  
152 University of Toronto for analysis. Samplers from Norway were shipped back to the University  
153 of Toronto immediately after the end of the campaign.

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154 **Table 1** Description of the stationary and personal sampling conducted during three field  
155 campaigns.

	<b>ASGM Community 1</b>	<b>ASGM Community 2</b>	<b>E-waste Recycling</b>
Dates of sampling	Dec. 6 to 8, 2018	Dec. 14 to 15, 2018	June 3 to 7, 2019
<b>Stationary Sampling</b>			
Length of deployments	~ 2 days	~ 1 day	~ 4 days
Number of samples	n=20 (#311 to #330)	n=10 (#335 to #345)	n=21 (#1 to #21)
<b>Personal Sampling</b>			
Participants	25 total 10 for 3 days 9 for 2 days 6 for one day	36 total 14 for 2 days 22 for 1 day	8 total 5 for 3 days 3 for 2 days
Sampling periods	n=54	n=50 (- 2 lost <sup>a</sup> )	n=21 (+ 1 stationary <sup>b</sup> )
Length of sampling	7 hours average (6 to 10.5 hours)	7.3 hours average (~5.5 to 9 hours)	8.5 hours average (~7 to 12 hours)
Type of participants	miners (drilling, digging, washing, sieving, burning) community members (weavers, seamstresses, drivers, bar operators). Two underage children	miners (mostly diggers, crushers, soil carriers) community members (shop keeper, food seller, researchers, farmers)	e-waste sorters machine operators, driver office worker

<sup>a</sup>Two samplers could not be analyzed quantitatively, as all or part of the carbon sorbent was lost.

<sup>b</sup>One personal sampler was not worn by a person but was instead used as a stationary sampler.

158 **Stationary Sampling.** Stationary PASs were deployed by attaching them to existing structures,  
159 fences or trees, generally at a height of approx. 1.3 to 2 m above the ground, following  
160 established routines.<sup>31,32</sup> In Norway, 26 PASs were placed at 20 unique sampling sites  
161 (numbered #1 to #20), which included an office (#13A and #13B), four sites in industrial indoor  
162 spaces, including belts and sorting areas (#1, #2, #11, #12), and six sites in outdoor locations on  
163 the facility. One site at the shredder located in the centre of the facility (#9), and five mounted  
164 on the fence surrounding the entire facility (#3 to #7), and five sites within the vicinity of the  
165 facility mounted on trees (#14 to #18). Sample #20 was deployed furthest away from the facility  
166 to measure the atmospheric background, whereas sample #19 was deployed in a nearby town  
167 to measure the rural background. In Ghana, the sampling sites were for the most part outdoors  
168 covering both mining and residential areas. At each site, a few of the deployments were  
169 duplicated and a number of field blanks for both personal and stationary PASs were collected

170 by opening a sampler for a period of approximately 10 seconds and immediately sealing it  
171 again.

172 **Personal Sampling.** Personal inhalation exposure PASs attached to commercially available  
173 sampling plates<sup>29</sup> were distributed each morning by researchers on-site and the deployment  
174 time was recorded by the participant on a participant card (Figure S4). Study participants were  
175 instructed to continue with a workday as they normally would if not wearing a device. If for any  
176 reason participants needed to bathe the PAS was briefly removed. Participants were also  
177 instructed to avoid touching the PAS throughout the day. Upon retrieval the end time of the  
178 sampling period was denoted on the respective participant card and samplers were placed in a  
179 storage container that was tightly sealed with Parafilm®.

180 **Instrument Calibration and Sample Analysis.** Thermal decomposition, amalgamation and  
181 atomic absorption spectroscopy (US EPA Method 7473) were used to quantify the amount of  
182 total mercury sorbed to the granulated activated carbon sorbent (Calgon Carbon Corporation,  
183 HGR-AC) in a PAS. Samples from Ghana were analyzed using the AMA-254 trace mercury  
184 analyzer (Leco Instruments Ltd., Ontario, Canada) while samples from Norway used the MA-  
185 3000 direct thermal decomposition mercury analyzer (Nippon Instruments Corporation, Tokyo,  
186 Japan). Details of the analytical technique, including the approach adopted to analyze samples  
187 with high and low expected amounts of Hg in the HGR-AC, are given in the SI.

188 Quality assurance and quality control measures included the analysis, every 5-10 samples, of  
189 reference materials, i.e., either a high sulfur, bituminous coal standard reference material, NIST  
190 2685c (National Institute of Standards and Technology, Maryland USA) or an in-house prepared  
191 powdered HGR-AC sorbent loaded with mercury. Calibration checks were run every 5-10  
192 samples by alternating the analysis of 5 and 10 ng of Hg using the 0.1 mg/L calibration standard.  
193 Clean HGR-AC was used as the analytical blank. All samples were blank corrected by multiplying  
194 the mean field blank Hg concentration of a particular experiment by the mass of HGR-AC in  
195 each sample and subtracting this value from the mass of Hg (ng) found in that sample. For each  
196 of the three field studies and both types of samplers, the method detection limit (MDL) and  
197 practical quantification limit (PQL) in ng of Hg were defined as three and ten times the standard  
198 deviation of the field blanks, respectively.

199 **Calculation of volumetric air concentrations.** Air concentrations were calculated by dividing the  
200 blank-corrected amount of Hg quantified in the carbon sorbent of a passive sampler (in ng) by  
201 the product of a sampling rate (in m<sup>3</sup>/day) and the deployment length (in days). The sampling  
202 rate for stationary and personal samplers was taken to be 0.135 m<sup>3</sup>/day and 0.070 m<sup>3</sup>/day.  
203 These rates were adjusted for the local meteorological conditions during deployment using  
204 equations given in McLagan et al.<sup>34</sup> and Snow et al.<sup>29</sup>. Average temperature and wind speeds  
205 for the sampling sites were 31 °C and 3.9 m/s and 32 °C and 1.8 m/s for ASGM communities 1  
206 and 2 respectively, and were taken from <https://www.worldweatheronline.com/>. The average

207 temperature during the sampling at the e-waste facility was 13.5 °C, windspeed data was not  
208 available for this location, values were taken from <https://seklima.met.no/observations/>. The  
209 limits of detection and quantification (LOD and LOQ) in unit of ng/m<sup>3</sup> were defined as the MDL  
210 and PQL, respectively, divided by the sampling rate multiplied by the average deployment time.  
211 The adjusted sampling rates, MDLs, PQLs, LODs and LOQs are given in Table 2.

## 212 Results

213 **Quality Assurance/Quality Control.** Recoveries for liquid standards and standard reference  
214 materials ranging from 95-101 % indicate quantitative accuracy was maintained throughout the  
215 analysis of the samplers (Table 2). Average field blank concentrations for both ASGM  
216 communities were larger than values obtained during past studies using the sampler, while field  
217 blank concentrations from the e-waste facility were within a comparable range (Table 2).<sup>30,31,32</sup>  
218 The higher field blank contamination in Ghana can be attributed to the very high atmospheric  
219 GEM concentrations in the study area. While even a closed sampler can take up some GEM,<sup>29,33</sup>  
220 the amount of GEM that can diffuse to the sampler sorbent during the short time that field  
221 blank samplers were exposed to the ambient atmosphere is not negligible. Despite the high  
222 field blank levels, LODs and LOQs obtained for stationary PASs in both ASGM communities  
223 remained below 200 ng/m<sup>3</sup>, which is the lowest GEM exposure thresholds of interest. In  
224 community 1, the LOD and LOQ for personal PASs exceeded the ATSDR and WHO minimum risk  
225 level (MRL) of 200 ng/m<sup>3</sup> but remained lower than all other thresholds. As there was only one  
226 stationary PAS field blank in community 2, it was assumed that a typical relative standard  
227 deviation of field blanks is 50 %, and a standard deviation of 1.6 ng was estimated using this  
228 value. Here, only the LOQ was found to exceed the lowest threshold. The LOD and LOQ are  
229 similar to those of community 1 as the shorter deployment period (1 instead of 2 days) cancels  
230 the lower field blank contamination. Despite the relatively large LOD and LOQ values obtained  
231 for the ASGM communities, the values remain reasonable given the high concentrations of  
232 GEM observed in the area. LODs and LOQs for stationary and personal PASs at the e-waste  
233 facility were below all thresholds of interest.

234 Duplicate PAS were deployed during all three field studies. One duplicate deployed in  
235 community 1 was lost due to an error during analysis. Duplicates deployed in community 2  
236 agreed within 18 % with each other, indicative of acceptable precision considering the short  
237 deployment time of only one day. Two sets of duplicates deployed at the e-waste facility  
238 agreed within 2 and 29 % with each other. The large variability among duplicates is likely due to  
239 the relatively short deployment times, as previously reported better replicate precision was for  
240 PASs that had been deployed for much longer sampling times (often 6 months to a year).<sup>31,32,34</sup>

**Table 2** Summary of stationary and personal PAS meteorological and quality assurance data for Ghana and Norway field experiments

Sampling Location	ASGM – Community 1		ASGM – Community 2		E-Waste Facility	
	Personal	Stationary	Personal	Stationary	Personal	Stationary
Average Temperature (°C)	31		32		13.5	
Average Wind Speed (m/s)	3.9		1.8			
Standard Recoveries (%):						
In-house carbon	97 ± 4, (n=34)		95 ± 5, (n=19)		95 ± 1, (n=14)	
NIST Standard Reference Material	96 ± 5, (n=5)		101 ± 4, (n=17)			
Continuing Calibration Verification	101 ± 3, (n=89)		98 ± 3, (n=44)		100 ± 1, (n=14)	
PAS Type	Personal	Stationary	Personal	Stationary	Personal	Stationary
Adjusted Sampling Rate (m <sup>3</sup> /day)	0.076	0.156	0.076	0.151	0.067	0.139
Number of Samples	55	20	52	10	21	24
Replicate Precision (%)				18 %		2-29 %
Field blank Concentration (ng/g <sub>HGR-AC</sub> )	5 ± 2, n=3	15 ± 3, n=2	2 ± 1, n=3	3 ± 2, n=1	0.2 ± 0.1, n=6	0.4 ± 0.1, n=3
Method Detection Limit (ng)	6	8	3	5	0.2	0.4
Practical Quantification Limit (ng)	20	27	10	16	0.6	1.4
Average Deployment Length (days)	0.3	2	0.3	1	0.4	4
Limit of Detection (ng/m <sup>3</sup> )	267	25	126	26	7	0.8
Limit of Quantification (ng/m <sup>3</sup> )	889	82	420	88	23	2.5

**Stationary Sampling in Ghana.** All stationary PASs deployed in community 1 recorded GEM levels well above the LOQ. The geometric mean concentration was ~900 ng/m<sup>3</sup>, with most of the concentrations ranging between 500 and 1500 ng/m<sup>3</sup> (data for individual samplers provided in Tables S3); the latter value exceeds hemispheric background levels by three orders of magnitude. One sampler in an indoor location recorded levels in excess of 30,000 ng/m<sup>3</sup>. Of the nine stationary samplers deployed in community 2 (Tables S4), three recorded volumetric air concentrations below the LOD and four had levels that are above the LOD, but below the LOQ. These concentrations thus should only be regarded as semi-quantitative measures. The two samplers with levels well above the LOQ recorded concentrations of 160 and 360 ng/m<sup>3</sup>.

**Personal Sampling in Ghana.** In community 1, the concentrations recorded by personal samplers ranged from <LOD to in excess of 500,000 ng/m<sup>3</sup>, with a geometric mean concentration of 5500 ng/m<sup>3</sup> (Tables S5). Only four of the 55 sampling periods from this community recorded levels below the LOQ, of which two were below the LOD. 33 out of 55 samples (60 %) had levels between 1000 and 10,000 ng/m<sup>3</sup>, 8 (15 %) had levels between 10,000 and 100,000 ng/m<sup>3</sup>, and 6 (11 %) recorded levels in excess of 100,000 ng/m<sup>3</sup>. Only 8 (14 %) had levels below 1000 ng/m<sup>3</sup>. In community 2, the personal samplers recorded concentrations ranging from below the LOD to 7000 ng/m<sup>3</sup>, with a geometric mean concentration of ~250 ng/m<sup>3</sup> (Tables S6). 15 out of 52 samples (29 %) had levels below 100 ng/m<sup>3</sup>, 9 (17 %) had levels above 1000 ng/m<sup>3</sup>, with the remaining 28 samples (54 %) falling in the range between 100 and

1000 ng/m<sup>3</sup>. In community 2, however, 32 of 52 sampling periods had levels below the LOQ, 16 of which were also below the LOD.

**Stationary Sampling in Norway.** Concentrations for only 24 of 26 deployed samplers are reported (Table S7), because the sampler from site #10 was lost during deployment and one of the duplicates at site #14 was lost during analysis. The sampler at site #8 was accidentally deployed with the lid closed, but with the Parafilm<sup>®</sup> removed during the four days in the field. It contained 0.99 ng of Hg, i.e. slightly more than the field blanks, which is consistent with the absence of a Parafilm<sup>®</sup> seal. At all locations, recorded volumetric air concentrations were higher than the LOD. Four sites (#1, #2, #11, #12) located inside the e-waste facility as well as an outdoor site next to the shredder (#9) had the highest observed GEM concentrations ranging from 31 to 1140 ng/m<sup>3</sup>. Five outdoor locations (#3, #4, #6, #7, #18) had concentration levels above the LOQ, falling all in the narrow range from 2.8 to 3.8 ng/m<sup>3</sup>. This indicates that the facility also contributes to the Hg contamination of the outside ambient atmosphere. The remaining nine samplers, which sampled in the office and the outdoor locations away from the facility, recorded air concentrations below the LOQ of 2.5 ng/m<sup>3</sup>, but still above the LOD of 0.8 ng/m<sup>3</sup>. While these concentrations thus should only be regarded as semi-quantitative, it is clear that these are levels close to the expected hemispheric background of ~1.5 ng/m<sup>3</sup>.

**Personal Sampling in Norway.** Personal inhalation exposure PASs were worn during working hours by eight individuals working at the facility for a three-day period. Five of these individuals did this for three consecutive days, the remaining three did this only for the first two of these days. As such, there were 21 sampling periods. One additional sampler was not worn by a person but was instead placed as a stationary sampler near a work area. The workers included those that sort e-waste most of the time, to those that alternate between sorting e-waste and operating machinery. It also included an office worker and a driver. 18 of the 21 samplers recorded levels above the LOQ. They ranged from 69 to 3800 ng/m<sup>3</sup> and had a geometric mean of ~500 ng/m<sup>3</sup> (Table S8).

## Discussion

**Comparison with measurements in other occupational settings.** Table 3 compares the GEM concentration measured here with those that have been reported for various occupational and industrial settings previously. The levels in the Norwegian e-waste facility are comparable to those that have been measured in health care facilities in Poland<sup>5</sup> and Mongolia.<sup>6</sup> The GEM contamination in those settings are attributed to broken instruments and devices containing elemental Hg.<sup>5,6</sup> We similarly attribute the GEM in the Norwegian e-waste facility to broken devices such as fluorescent bulbs and tubes. In a residence where Hg from a manometer had been spilled, concentration of 750-3700 ng/m<sup>3</sup> and 220-1500 ng/m<sup>3</sup> prior and after clean-up operations, respectively have been reported.<sup>5</sup> It appears that average concentrations indoors in the 100s of ng/m<sup>3</sup> and peak time-weighted average (TWA) concentrations of a few 1000s of

299 ng/m<sup>3</sup> can be expected as a result of broken equipment containing Hg, with the actual levels  
300 depending on the size of the source (number of broken items and their Hg content) and the  
301 room ventilation rate. Similar indoor air contamination might be expected from Hg use for  
302 cultural and religious practices.<sup>35</sup>

303 The concentrations in ASGM community 2 in Ghana are similar to the concentrations reported  
304 for former mercury mining areas in China<sup>3,25</sup> and Italy,<sup>32</sup> ranging from close to background levels  
305 at sites removed from sources to 10,000 ng/m<sup>3</sup> and above at the most contaminated sites (e.g.  
306 abandoned smelting workshops<sup>3</sup>). This is probably typical for outdoor environments that are  
307 heavily contaminated with elemental mercury, but where there are no or only limited activities  
308 that result in the volatilization of GEM at high temperature, such as occurs during active  
309 smelting of Hg containing ores or the open burning of amalgam.

310 The higher end of the concentrations in ASGM community 1 is comparable to what Mnizek<sup>1</sup> has  
311 reported as the exposure of workers within two active chloralkali plants in Poland. This suggests  
312 that those living in the parts of ASGM communities where regular amalgam burning is taking  
313 place can be exposed to time-weighted average GEM concentrations in the range of 10,000s to  
314 100,000s of ng/m<sup>3</sup>, with the higher end of the range more likely to occur in indoor  
315 environments.

316 **Comparison with measurements reported previously in ASGM communities.** Gyamfi et al.<sup>26</sup>  
317 had previously measured GEM concentrations in numerous households of community 1 using a  
318 portable Hg analyzer. Concentrations in households reporting burning of amalgam averaged  
319 ~14,000 ng/m<sup>3</sup> whereas those without such burning were much lower at around 500-800  
320 ng/m<sup>3</sup>. Concentrations recorded outside of burning and non-burning households averaged 2800  
321 and 300 ng/m<sup>3</sup>, respectively.<sup>26</sup> These values are entirely consistent with the values recorded by  
322 the stationary samplers here, with a geometric mean of 900 ng/m<sup>3</sup> and a range from 400-  
323 30,000 ng/m<sup>3</sup>, whereby the maximum also was an indoor location.

324 Black et al.,<sup>27</sup> using SKC badges, recorded extremely high GEM inhalation exposure for gold  
325 miners in Burkina Faso during open burn events. Concentrations averaged over periods ranging  
326 from 1 to 4 hours were ~7,000,000 ng/m<sup>3</sup> for burners and ~1,400,000 ng/m<sup>3</sup> for bystanders.  
327 This is much higher than the values recorded by the personal samplers in this study, where the  
328 highest value was ~500,000 ng/m<sup>3</sup> and the geometric mean ~5000 ng/m<sup>3</sup>. This difference can  
329 be explained by the different sampling strategies. Black et al.<sup>27</sup> aimed at recording the  
330 maximum expected, acute exposure levels of those engaged in open amalgam burning and  
331 those in their immediate vicinity. Our sampling was focussed on recording the day-to-day  
332 chronic exposure to GEM that those working and living in an ASGM community might expect.

333 **Table 3** Comparison of the GEM concentrations measured in this study with those reported for occupational and industrial  
 334 settings in the literature.

Type of environment	Sampling method	Range ng/m <sup>3</sup>	mean ng/m <sup>3</sup>	Reference
ASGM community 1, Ghana	Stationary passive sampler	360 to 31,400	900 (geomean)	This study
	Personal passive sampler	<267 to 530,000	5500 (geomean)	
ASGM community 2, Ghana	Stationary passive sampler	<26 to 360	42 (geomean)	
	Personal passive sampler	<126 to 6800	280 (geomean)	
E-waste recycling, Norway	Stationary passive sampler	0.9 to 1,140	5 (geomean)	
	Personal passive sampler	<7 to 3,850	500 (geomean)	
Chloralkali plants, Poland	Personal active sampling	23,000 to 150,000	60,000 (geomean)	1
Hospital, Poland	Stationary sampling	<20 to 14,000	150 to 200 (medians)	5
Dental clinics, Sweden	Personal active sampling	600 to 10,400	1,800 to 2,100 (median)	4
Health care facilities, Mongolia	Portable Hg analyser	3 to 2,700	200	6
Mercury mining area, China	Portable Hg analyser	20 to 40,000		3
Mercury mining area, Italy	Stationary passive sampler	1.3 to 6,700	112 to 242	32
Mercury mining area, China	Portable Hg analyzer	1.3 to 800	16 (geomean)	25

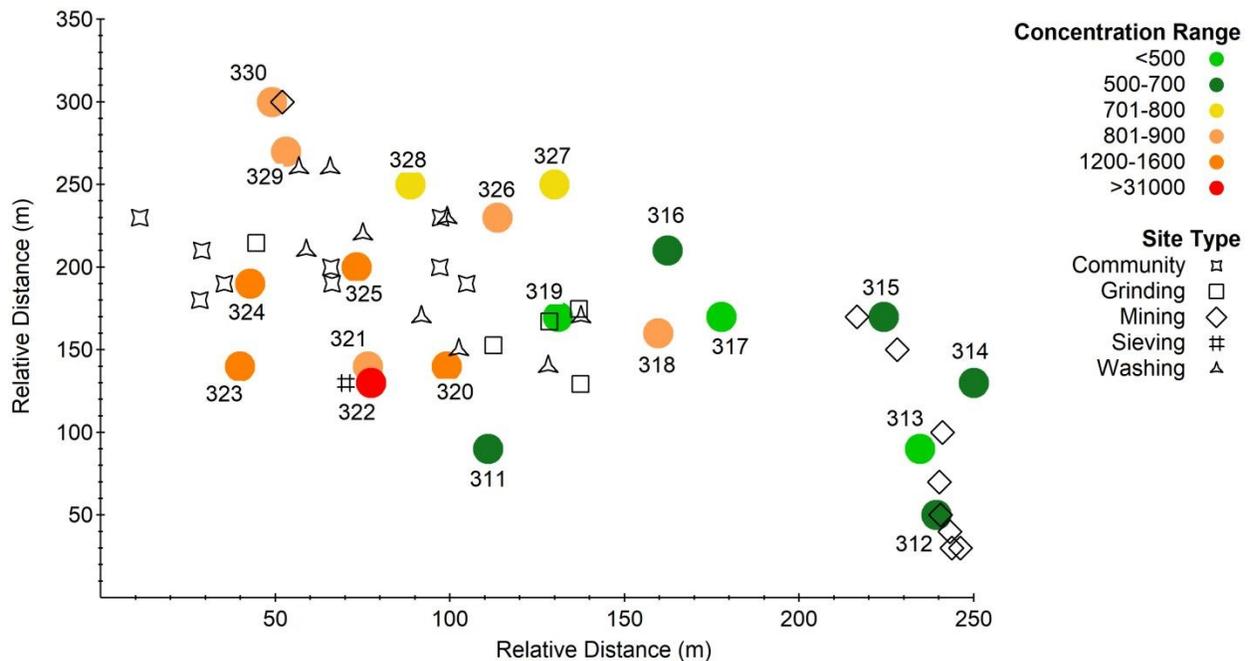
336 **Variability in GEM levels and exposure between the two ASGM communities.** The two ASGM  
337 communities were exposed to very different levels of GEM contamination. Stationary and  
338 personal sampling are consistent with each other in terms of showing that GEM levels in  
339 community 1 were much higher than in community 2. The lowest atmospheric concentration  
340 measured with a stationary PAS in community 1 was similar to the highest level in community 2  
341 (360 ng/m<sup>3</sup>). The average exposure recorded with personal PASs in community 1 (geomean >  
342 5000 ng/m<sup>3</sup>) was ~20 times higher than that observed in community 2 (geomean ~250 ng/m<sup>3</sup>).

343 This difference between the two communities could potentially be attributed to sampling  
344 location and community practices. Community 2 is close to a bigger city, where the miners  
345 bring their amalgams to be burnt in gold shops, while community 1 is remote and therefore  
346 miners have to do the amalgam burning themselves. We also believe that the GEM  
347 concentrations obtained in the second ASGM community may be biased low. ASGM, or  
348 galamsey as it is referred to by the locals, was under intense regulation from local authorities  
349 during our visit. Although the community members agreed to participate, they were reluctant  
350 to talk about or admit to the process of Hg burning. Researchers observed large quantities of Hg  
351 in use during the sampling campaign which does not appear to be reflected in sampling results.  
352 One explanation for this could be that individuals from community 2 completed the burning of  
353 amalgam at night when there was no personal sampling being completed. Although stationary  
354 samplers remained overnight, community reception of the project limited the placement and  
355 deployment of these samplers. This is in sharp contrast with the reception in community 1,  
356 where the project was openly welcomed with curiosity and a willingness to participate. This  
357 likely allowed the results obtained to more accurately reflect GEM exposure in the community.  
358 This difference in the extent of community participation highlights the challenge of conducting  
359 effective exposure characterization when emission-generating activities have to be conducted  
360 clandestinely to avoid repression by authorities.

361 **Spatial variability within concentrations measured by stationary samplers.** Figure 1 shows the  
362 concentrations measured with the stationary samplers on a map of community 1 and also  
363 displays the types of activities that are taking place in different areas of the community. It  
364 indicates that the highest levels are prevalent in the Southwest of the area. This overlaps with  
365 the area where most of the community members spend their time. Notably, the mining areas in  
366 the Southeast of community 1 had relatively lower Hg concentrations. The high concentrations  
367 are likely associated with the parts of the community where the burning of amalgam occurs  
368 most frequently. In community 2 the two samplers with the highest recorded levels were also  
369 within the community and near a location where one individual was seen burning amalgam.

370 In the e-waste facility, GEM concentrations recorded by the stationary PASs suggest that the  
371 main source of gaseous mercury contamination is found in the indoor e-waste sorting area  
372 (probably related to the presence of broken, Hg-containing fluorescent tubes and CFL bulbs),

373 whereas much lower values prevail outdoors. The one outdoor location with high GEM levels  
374 was immediately adjacent to the shredder.



375  
376 **Figure 1** Map of measured GEM air concentrations in community 1 outlining the distribution of  
377 mining activities in the community. The numbers indicate sampler ID.

378 **Personal exposure variability within communities.** Not only between, but also within the  
379 ASGM communities the measured personal exposure varied widely. The variability can to a  
380 large extent be related to the activities in which community members are engaged. In  
381 community 1, exposure levels in the 1000 to 10,000 ng/m<sup>3</sup> range were prevalent for those  
382 describing their work as “sieving”, “seamstress”, “supervisor” and “bar operator”. Higher  
383 exposure levels in excess of 10,000 ng/m<sup>3</sup> were more commonly encountered among those  
384 engaged in “digging, washing” or “burning, crushing, digging”. However, among the most  
385 exposed in the community counted the “driver” and, remarkably, one of the students. Only two  
386 professions (“chop bar operator”, “drilling”) were associated with exposure consistently below  
387 1000 ng/m<sup>3</sup>. In ASGM community 2, those who reported to be engaged in crushing and digging  
388 were more likely to have inhalation exposure in excess of 1000 ng/m<sup>3</sup>, than those describing  
389 their work as “soil carrier” or those not engaged in gold mining activities.

390 Entirely consistent with the findings from the stationary PASs, in Norway those working directly  
391 with the sorting of e-waste experience higher exposure to gaseous mercury than those working  
392 more in other parts of the facility, in particular in the outdoors. The concentrations recorded by  
393 the three samplers worn by the office worker were all below the LOD. The individual, who was  
394 mostly a driver within the facility and did not participate in the waste sorting activities was

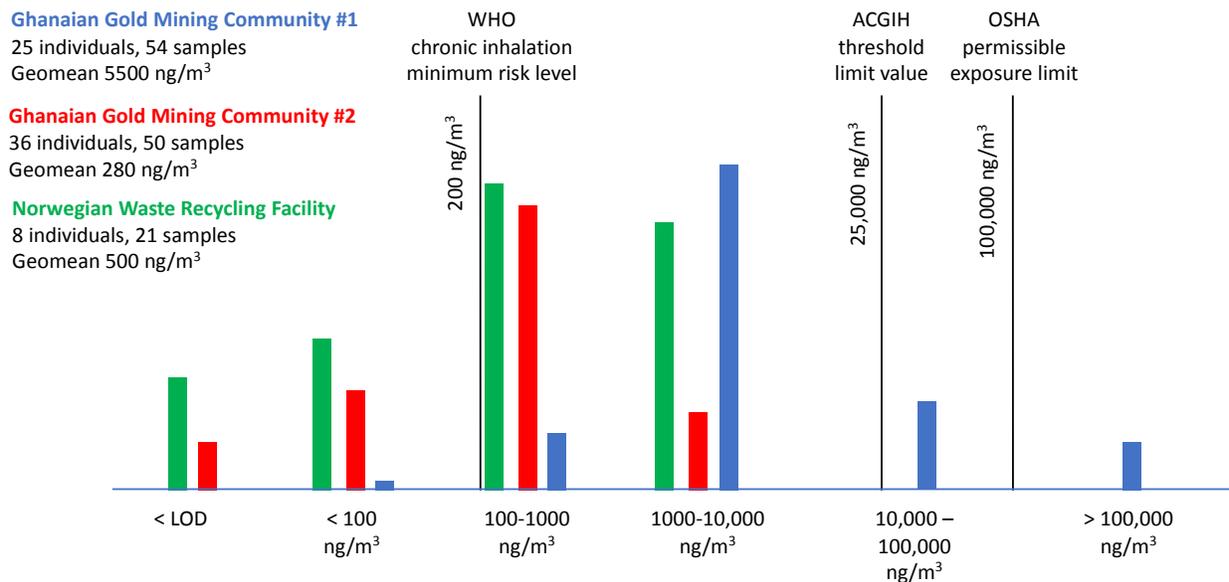
395 clearly exposed to lower concentrations (geometric mean over three days of  $\sim 100$  ng/m<sup>3</sup>) than  
396 the other workers (geometric mean of 737 ng/m<sup>3</sup>, n=15). Whether those who only participate  
397 on alternating days in waste sorting activities had a lower exposure level than those who do this  
398 every working day could not be shown with statistical significance, mostly because of large day-  
399 to-day variability in measured exposure (see next section). One such individual, though, had low  
400 exposure levels on days 1 and 3 ( $< 82$  ng/m<sup>3</sup>) yet very high exposure on day 2 ( $\sim 2750$  ng/m<sup>3</sup>).

401 **Personal exposure variability between days.** At all three field locations, personal exposure was  
402 measured on more than one day, allowing for an exploration of day-to-day variability. Such  
403 variability was apparent in all three cases. When comparing the different days of sampling in  
404 ASGM community 1, the 17 samplers deployed on the first day had a lower geometric mean of  
405 3200 ng/m<sup>3</sup> when compared to each of the 19 samplers deployed on the second (6300 ng/m<sup>3</sup>)  
406 and third day (7700 ng/m<sup>3</sup>). Among those that participated on both days of sampling in ASGM  
407 community 2, some experienced widely different exposure on the two days. For example, the  
408 exposure of 4 out of 14 participants with two days of sampling deviated by more than an order  
409 of magnitude. For others, the exposure on the two days was similar; for example, the exposure  
410 of 5 out of 14 participants with two days of sampling was within a factor of 2. In Norway, there  
411 were large differences in the personal exposure measured on different days of sampling.  
412 Specifically, concentrations on day 2 (June 5, 2019, geometric mean of 2161 ng/m<sup>3</sup>, n = 6) were  
413 much higher than on day 1 (June 4, 2019, 381 ng/m<sup>3</sup>, n = 6) or day 3 (June 6, 2019, 321 ng/m<sup>3</sup>, n  
414 = 3). This variability likely arises from day-to-day variability in the activities of the participants.  
415 For example, weather conditions in Norway were inclement on the second day of sampling,  
416 which may have resulted in more work being done inside than on the other two days, when  
417 weather was good. We might also expect day-to-day variability in the strength of the GEM  
418 sources. For example, in the e-waste facility, it may depend on the number and mercury  
419 content of items processed and broken during a particular day, whereas in the ASGM  
420 communities it likely is strongly influenced by the number of open burning events occurring  
421 during a day.

422 **Comparison of measured exposure with chronic exposure thresholds.** LOD and LOQ values  
423 obtained for each field study were low enough to allow for classification of the volumetric air  
424 concentrations obtained for each participant relative to the applicable regulatory threshold  
425 level for GEM (Figure 2). In community 1, all but one of the samples exceeded the ATSDR and  
426 WHO chronic inhalation MRL of 200 ng/m<sup>3</sup>. 22 % of the samplers exceeded the ACGIH TLV of  
427 25,000 ng/m<sup>3</sup>, 18 % exceeded the NIOSH REL of 50,000 ng/m<sup>3</sup>, and 11 % exceeded the OSHA  
428 PEL of 100,000 ng/m<sup>3</sup>. In contrast to Black et al.,<sup>27</sup> we did not observe levels above 10,000,000  
429 ng/m<sup>3</sup>, which are considered Immediately Dangerous to Life and Health (IDLH).

430 More than half of the PASs in community 2 recorded levels above the chronic inhalation MRL of  
431 200 ng/m<sup>3</sup>; four PASs exceeded this limit by more than an order of magnitude. In the e-waste

432 facility, most sampling periods (n=14) recorded levels above this MRL. Three sampling periods  
 433 exceeded that level by more than an order of magnitude. The Norwegian recommended  
 434 threshold value of 20,000 ng/m<sup>3</sup> was not reached during any of the personal sampling periods,  
 435 although three of the PASs were within an order of magnitude of that value (i.e. exceeded 2000  
 436 ng/m<sup>3</sup>).



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 438 **Figure 2** Frequency distribution of volumetric air concentrations measured with personal  
 439 passive air samplers in ASGM communities compared to GEM occupational exposure limits

440 **Comparing stationary and personal sampling.** Interestingly, at all three investigated locations  
 441 the concentrations recorded in personal PASs were considerably higher than in the stationary  
 442 PASs. In the e-waste facility, the concentrations recorded in personal PASs often exceeded the  
 443 highest concentration measured in a stationary sampler (1140 ng/m<sup>3</sup>), in one case by a factor of  
 444 three (~3500 ng/m<sup>3</sup>). In both ASGM communities, only a single stationary PAS recorded a level  
 445 higher than the geometric mean concentration of the personal PASs. The highest concentration  
 446 recorded by a personal PAS exceeded the highest concentration measured by a stationary PAS  
 447 by a factor of 19 in community 2 (6,800 vs. 370 ng/m<sup>3</sup>) and a factor of 17 in community 1  
 448 (530,000 vs. 31,000 ng/m<sup>3</sup>). This is important as it suggests that stationary sampling may  
 449 strongly underestimate the personal inhalation exposure of those living in an ASGM community  
 450 or those working in an industrial setting.

451 Uncertainties in the sampling rates of the two different sampler configurations are far too small  
 452 to explain these divergent concentrations recorded by stationary and personal sampling.<sup>29,34</sup>  
 453 One possible reason for this difference is that personal sampling was only done during the day,  
 454 whereas the stationary sampling included nights. Lower levels during nighttime would cause  
 455 personal PASs to give higher readings. It is very likely that GEM levels are higher during the day

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3 456 when the human activities responsible for Hg emissions take place (e.g. amalgam burning, e-  
4 457 waste sorting, breaking Hg-containing devices). While higher temperatures during the daytime  
5 458 may increase also surface emissions from the ground, this is unlikely to be important relative to  
6 459 the high primary anthropogenic emissions. Another reason for higher personal exposure  
7 460 concentrations is that people are likely to be closer to the main sources of GEM than the  
8 461 stationary sampling locations. This is plausible, because human activities generate gaseous Hg  
9 462 emissions, and the individuals for whom high personal exposure was recorded spend much of  
10 463 their day time in the locations with higher GEM concentrations, i.e. the indoor waste sorting  
11 464 area in Norway and gathering places and workplaces in Ghana (see also Figure 1).

12 465 A more ambitious sampling campaign could investigate the consistency between stationary and  
13 466 personal sampling more rigorously. For example, interpolating the concentrations recorded by  
14 467 a network of stationary passive sampler can be used to generate maps of GEM  
15 468 concentrations,<sup>31-33</sup> while personal passive samplers can record the exposure of persons within  
16 469 the same area. If the trajectories of persons moving within the space covered by the map can  
17 470 be recorded (e.g. with mobile phone data), it should be possible to directly compare the levels  
18 471 recorded by the personal samplers with the personal exposures calculated by weighing the  
19 472 concentrations in different locations with the time a person spends in these locations.<sup>36</sup>  
20 473 However, this assumes that temporal changes in the concentrations at a location are minor.

## 21 474 **Conclusions**

22 475 **Field-worthiness and performance characteristics of the new PAS.** The three field tests  
23 476 confirmed the suitability of the personal sampler introduced by Snow et al.<sup>29</sup> to be used in  
24 477 challenging, real-life applications. The sampler proved to be sufficiently robust and sturdy, yet  
25 478 also unobtrusive, to be worn during a wide variety of activities, including strenuous work in the  
26 479 outdoors, as frequently encountered during ASGM. The number of samplers were lost due to  
27 480 breakage or malfunction was very small (n=3 over all three study locations). Importantly, the  
28 481 sampler succeeded in recording exposure concentrations ranging from below 200 ng/m<sup>3</sup> to in  
29 482 excess of 500,000 ng/m<sup>3</sup>, i.e. over more than four orders of magnitude and covering the entire  
30 483 range of exposure thresholds relevant for chronic health effects.

31 484 The divergence in the LOD and LOQ between the three different sites highlights the need to  
32 485 determine the LOD and LOQ of the PASs for each campaign and therefore the need for a  
33 486 suitable number of field blanks. The relatively high LOD and LOQ in the Ghanaian deployments  
34 487 were largely a result of the field blank procedure, which involved a short exposure of an opened  
35 488 PAS to the local atmosphere. In future deployments in environments with very high GEM  
36 489 concentrations, we recommend a field blank procedure that does not include opening the  
37 490 sampler, as this is likely to lead to much lower LODs and LOQs.

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3 491 We have not tested the PAS to measure the exposure to GEM of those actively engaged in  
4 492 amalgam burning, which is expected to be another one to two orders of magnitude higher than  
5 493 the highest exposure recorded here.<sup>27</sup> The highest concentration that can be determined with  
6 494 the PASs is constrained by the analysis of the HGR-AC. If the amount of Hg sorbed to the HGR-  
7 495 AC is too high, it could be outside of the range of the instrument and may in fact contaminate  
8 496 the instrument. This can be addressed by (i) analyzing only small aliquots of the sorbent within  
9 497 a sampler and (ii) by limiting the deployment length.

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498 **Benefits of a combined deployment of stationary and personal samplers.** In all three  
499 deployment locations, stationary and personal passive samplers provided complementary,  
500 exposure-relevant information. The combination of the two approaches offers a way to obtain  
501 a comprehensive, spatially resolved map of background GEM concentrations while  
502 simultaneously obtaining individual exposure information. Stationary sampling alone is unlikely  
503 to provide realistic estimates of personal exposure, because of constraints on when and where  
504 the sampling takes place. This implies that personal sampling is advisable to obtain information  
505 on the exposure of workers and community members. On the other hand, stationary samplers  
506 can be used to identify the locations and therefore the activities or materials that contribute  
507 most to personal inhalation exposure. Clearly, this is a key piece of information required for  
508 mitigating any hazardous exposure that may be identified. For example, eliminating extended  
509 storage of the suspected main contaminant sources (e.g. broken fluorescent tubes or other  
510 items stored in open containers) in the e-waste facility would greatly reduce GEM  
511 concentrations. Similarly, conducting amalgam burning no longer indoors but in well-ventilated  
512 outdoor spaces away from community gathering places would be a very effective exposure  
513 reduction effort in ASGM community 1.<sup>26</sup>

514 The combination of stationary and personal passive sampling approaches based on the same  
515 sorbent and therefore the same analytical quantification technique, should allow for  
516 efficiencies in instrument acquisition, maintenance, and operator training. The fact that the  
517 stationary version of the PAS has already been widely tested and characterized adds confidence  
518 to the measurements obtained with the version designed for personal sampling.

519 **Chronic GEM exposure of e-waste workers and ASG miners and other community members.**

520 We believe to have conducted the first measurements of the personal exposure to GEM of  
521 ASGM workers and community members, that are not directly engaged in amalgam burning  
522 activities. Inhalation exposure is often an acknowledged data gap when seeking to characterize  
523 the exposure of ASGM communities to mercury.<sup>37</sup> While we found large differences between  
524 two ASGM communities, in one of them we observed that almost everyone was exposed to  
525 time-weighted average levels in excess of the ATSDR and WHO chronic inhalation MRL of 200  
526 ng/m<sup>3</sup>, and many were exposed to much higher concentrations. Even within a regulated waste  
527 facility in Norway, 7 out of 21 time-averaged personal exposure measurements exceeded 1000

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3 528 ng/m<sup>3</sup> during a work day. Clearly, many GEM exposure concerns remain and there is thus a  
4 529 continued need for the monitoring of the inhalation exposure to GEM. We hope that the  
5 530 presented passive sampling techniques will aid the characterization of GEM inhalation exposure  
6 531 in future studies investigating the health effects of mercury use in ASGM communities. The  
7 532 results from this study may provide suitable guidance on an appropriate and representative  
8 533 sampling strategy, e.g. with respect the number of days to sample personal inhalation exposure  
9 534 or where within a community stationary samplers should be placed.

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542 Ghana.

### 543 **Conflict of Interest**

544 Tekran Instruments Corp. has entered into a licensing agreement with the University of Toronto  
545 for the commercialization of the PAS used in this study under the *MerPAS*<sup>®</sup> name, which results  
546 in some license fees being distributed to FW.

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